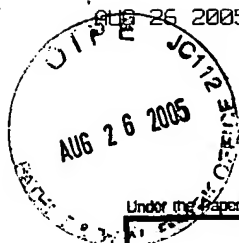


If2v



PTO/SB/97 (08-00)

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Filing Date: 11/26/2003

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2. Copy of Utility Patent Application Transmittal Dated 8/24/04
3. Copy of Fee Transmittal Dated 8/24/04
4. Change of Entity Status Under 37 C.F.R §1.28 Dated 9/21/04
5. Copy of Filing Receipt Dated 7/22/2004
6. Response to Request for Corrected Filing Receipt Dated 7/22/04

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P.03/11

*** TX STATUS REPORT ***

AS OF SEP 21 2004 16:10 PAGE.01

LEE - HAYES PLL

| | DATE | TIME | TO/FROM | MODE | MIN/SEC | PGS | JOB# | STATUS |
|----|-------|-------|---------|------|---------|-----|------|--------|
| 29 | 09/21 | 16:08 | USPTO. | EC-S | 02'29" | 008 | 008 | OK |

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Application Number: 10/723,863

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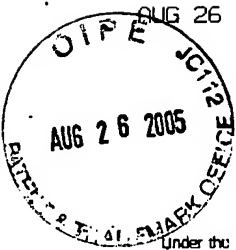
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Application Number: 10/723,863

Filing Date: Nov 26, 2003

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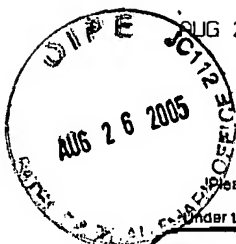
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PTO/SB/05 (03-01)

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. MS1-1699US

First Inventor Chalecki

Title Rendering An HTML Electronic Form By Applying XSLT To XML Using A Solution

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.3. ☐ Specification [Total Pages (preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets

5. Oath or Declaration [Total Pages

a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

Mail Stop Patent Application
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7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement [Power of Attorney]
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure [Copies of IDS]
Statement (IDS)/PTO-1449 Citations

13. ☐ Preliminary Amendment

14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.

17. ☒ Other: Change of Entity Status 37 CFR 1.28

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
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☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

or prior application No. _____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

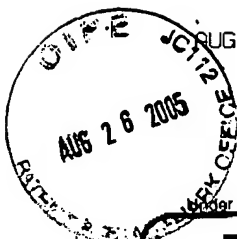
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| | | | |
|-------------------|-------------------------|-----------------------------------|-----------|
| Name (Print/Type) | Michael K. Colby | Registration No. (Attorney/Agent) | 45,816 |
| Signature | <i>Michael K. Colby</i> | Date | 24 Aug 04 |

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PAGE 5/11 * RCVD AT 8/26/2005 6:04:29 PM [Eastern Daylight Time] * SVR:USPTO-EFXXF-5/24 * DNIS:7469195 * CSID:15093238979 * DURATION (mm:ss):03:42



AUG 26 2005 15:06 FR 00

15093238979 TO 17037469195

P.06/11

PTO/SB/17 (10-03)

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 739.00)

Complete if Known

| | |
|----------------------|--------------|
| Application Number | 10/723,863 |
| Filing Date | Nov 26, 2003 |
| First Named Inventor | Chalecki |
| Examiner Name | |
| Art Unit | 2171 |
| Attorney Docket No. | MS1-1699US |

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number
Deposit Account Name

12-0769

Lee & Hayes, PLLC

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|-----------|
| 1001 770 | 2001 385 | Utility filing fee | |
| 1002 340 | 2002 170 | Design filing fee | |
| 1003 530 | 2003 265 | Plant filing fee | |
| 1004 770 | 2004 385 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | (\$ 0.00) |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** = | X | |
| Multiple Dependent | -3** = | X | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|--|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 86 | 2201 43 | Independent claims in excess of 3 |
| 1203 290 | 2203 145 | Multiple dependent claim, if not paid |
| 1204 86 | 2204 43 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0.00)

**or number previously paid. If greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | |
| 1252 420 | 2252 210 | Extension for reply within second month | |
| 1253 950 | 2253 475 | Extension for reply within third month | |
| 1254 1,480 | 2254 740 | Extension for reply within fourth month | |
| 1255 2,010 | 2255 1,005 | Extension for reply within fifth month | |
| 1401 330 | 2401 165 | Notice of Appeal | |
| 1402 330 | 2402 165 | Filing a brief in support of an appeal | |
| 1403 290 | 2403 145 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,330 | 2453 665 | Petition to revive - unintentional | |
| 1501 1,330 | 2501 665 | Utility issue fee (or reissue) | |
| 1502 480 | 2502 240 | Design issue fee | |
| 1503 640 | 2503 320 | Plant issue fee | |
| 1480 130 | 1460 130 | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(q) | |
| 1808 180 | 1808 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 770 | 2809 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 770 | 2810 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 770 | 2801 385 | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |

Other fee (specify) Change of Entity Status 739.00

*Reduced by Basic Filing Fee Paid

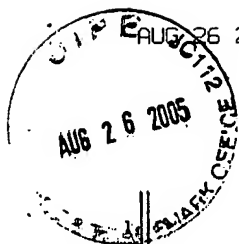
SUBTOTAL (3) (\$ 739.00)

SUBMITTED BY

| | | | | | |
|-------------------|-------------------------|-----------------------------------|-----------|-----------|----------------|
| Name (Print/Type) | Michael K. Colby | Registration No. (Attorney/Agent) | 45,816 | Telephone | (509) 324-9256 |
| Signature | <i>Michael K. Colby</i> | Date | 24 Aug 04 | | |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No. 10/723,863
3 Filing Date November 26, 2003
4 Inventorship Chalecki, et al.
5 Applicant Microsoft Corporation
6 Group Art Unit 2171
7 Examiner
8 Confirmation No. 9696
9 Attorney's Docket No. MS1-1699US
10 Title: Rendering An HTML Electronic Form By Applying XSLT To XML Using A
11 Solution

12 **CHANGE OF ENTITY STATUS**
13 **UNDER 37 C.F.R. § 1.28**

14 To: Commissioner for Patents
15 P.O. Box 1450
16 Alexandria, VA 22313-1450

17 From: Michael K. Colby (Tel. 509-324-9256; Fax 509-323-8979)
18 Lee & Hayes, PLLC
19 421 W. Riverside Avenue, Suite 500
20 Spokane, WA 99201

21 Sir:

22 Applicant makes this submission in accordance with 37 C.F.R. §1.28(c) to change
23 the entity status from small entity to large entity. The patent application filing fee due for
24 a large entity is \$1478.00. The small entity filing fee of \$739.00 was paid on November
25 26, 2003. The \$739.00 deficiency due is paid herewith.

Respectfully Submitted,

Dated: 2/2/04

By: Michael K. Colby

Michael K. Colby
Reg. No. 45,816
(509) 324-9256



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| 10/723,863 | 11/26/2003 | 2171 | 739 | MS1-1699US | 11 | 45 | 6 |

CONFIRMATION NO. 9696

CORRECTED FILING RECEIPT



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22801
 LEE & HAYES PLLC
 421 W RIVERSIDE AVENUE SUITE 500
 SPOKANE, WA 99201

Date Mailed: 07/22/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jason P. Chalecki, Redmond, WA;
 Kelvin S. Yiu, Seattle, WA;
 Prakash Sikchi, Issaquah, WA;

Assignment For Published Patent Application

Microsoft Corporation;

Domestic Priority data as claimed by applicant

This application is a CIP of 10/610,504 06/30/2003

Foreign Applications

If Required, Foreign Filing License Granted: 03/12/2004

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

Rendering an HTML electronic form by applying XSLT to XML using a solution

Preliminary Class

707

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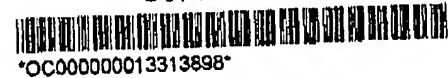


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| APPLICATION NUMBER | FILING OR 371(a) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|--------------------|-----------------------|-----------------------|------------------------|
| 10/723,863 | 11/26/2003 | Jason P. Chalcecki | MS1-1699US |

CONFIRMATION NO. 9696



OC000000013313898

22801
LEE & HAYES PLLC
421 W RIVERSIDE AVENUE SUITE 500
SPOKANE, WA 99201

Date Mailed: 07/22/2004

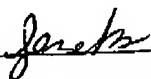
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In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The articles such as "a", "an", and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- ☐ The words "new", "improved", "improvement of", "improvement in", or "improvement relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement. See MPEP 606.
- ☐ The title appears on the filing receipt in sentence case for publication in the Annual Index of Patents.
- ☐ Amendments are not accepted in provisional applications. See 37 CFR 1.53(c).
- ☐ An amendment or Application Data Sheet is needed to make this change.
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02.)
- ☐ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
- ☒ Small entity status was previously claimed in this application. To notify the Office of a loss of entitlement to small entity status, see 37 CFR 1.27(g). To have a good faith error in claiming small entity status excused, see 37 CFR 1.28(c).

- ☐ The request for non-publication was not timely filed. A request for non-publication must be submitted upon filing of an application. The application is scheduled to be published on the date specified on the filing receipt. See 37 CFR 1.213(a)(1)
- ☐ The request for non-publication has not been recognized because it is not conspicuous as required by 37 CFR 1.213(a)(2)
- ☐ The request for non-publication has not been recognized because it does not contain the certification as required by 37 CFR 1.213(a)(3).
- ☐ The request for non-publication has not been recognized because it is not signed in compliance with 37 CFR 1.33(b) as required by 37 CFR 1.213(a)(4).
- ☐ There was no prior request for non-publication in this application. The request to rescind the non-publication request will not be processed.
- ☐ Your request for non-publication will not be acknowledged because this application is not eligible for publication. Only utility and plant applications filed on or after November 29, 2000 are eligible for publication.
- ☐ The "Non-Publication Request" indicator is correct. If there was a proper request submitted at the time of filing, the notation on the filing receipt will be "Yes". If no such request was made, the notation will be "No".
- ☐ Assignment information will only be included for applications that are eligible for publication.


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